

MODUL 9 JAK SE STÁT HODNOTITELEM

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4. březen 2022





 Technické dotazy nám pište do chat boxu v této aplikaci



 Ostatní dotazy pokládejte přímo na živo a nebo přes SLIDO.com





KONTAKTUJTE NÁS PŘES SLIDO

A klidně se ptejte...



AGENDA

Program Horizont Evropa Registrace a výběr hodnotitelů

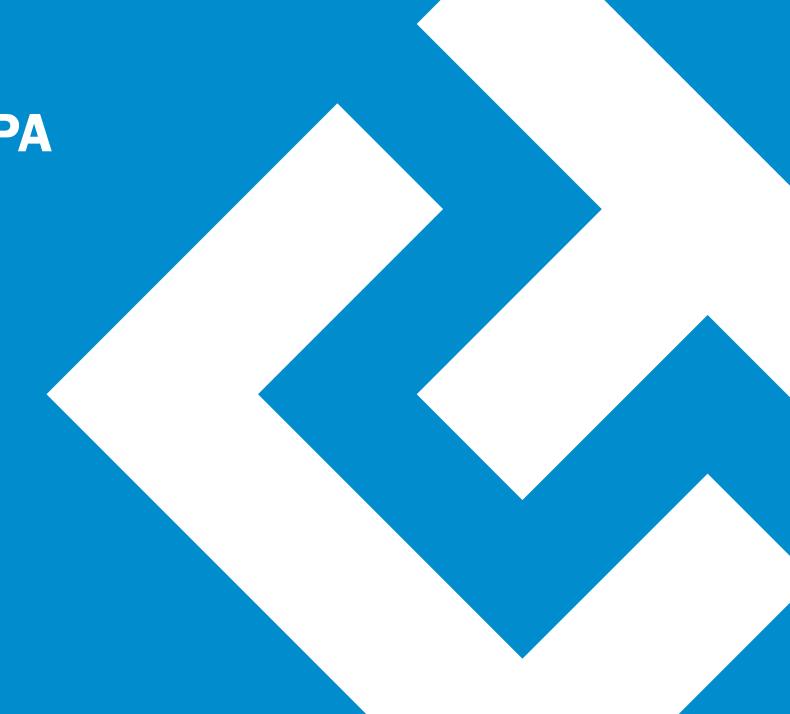
Evaluace žádostí a projektů - pohled experta

Hodnotící

Příprava hodnotící zprávy Pravidla odměňování hodnotitelů



PROGRAM HORIZONT EVROPA 2021 - 2027



STRUKTURA PROGRAMU HORIZONT EVROPA, KLASTRY

HORIZON EUROPE

SPECIFIC SPECIFIC PROGRAMME IMPLEMENTING HORIZON EUROPE & EIT PROGRAMME: Exclusive focus on civil applications **EUROPEAN** Pillar I Pillar II Pillar III Fusion DEFENCE **EXCELLENT SCIENCE GLOBAL CHALLENGES &** INNOVATIVE EUROPE FUND **EUROPEAN INDUSTRIAL** Exclusive focus on COMPETITIVENESS defence research **European Research Council** Health **European Innovation** & development . Culture, Creativity & Council Inclusive Society Marie Skłodowska-Curie · Civil Security for Society European innovation · Digital, Industry & Space Fission Research Infrastructures ecosystems Research · Climate, Energy & Mobility actions Food, Bioeconomy, Natural European Institute of Resources, Agriculture & Innovation & Technology* Environment European Union 2021 Joint Research Centre Joint Development Research actions Center WIDENING PARTICIPATION AND STRENGTHENING THE EUROPEAN RESEARCH AREA Widening participation & spreading excellence Reforming & Enhancing the European R&I system



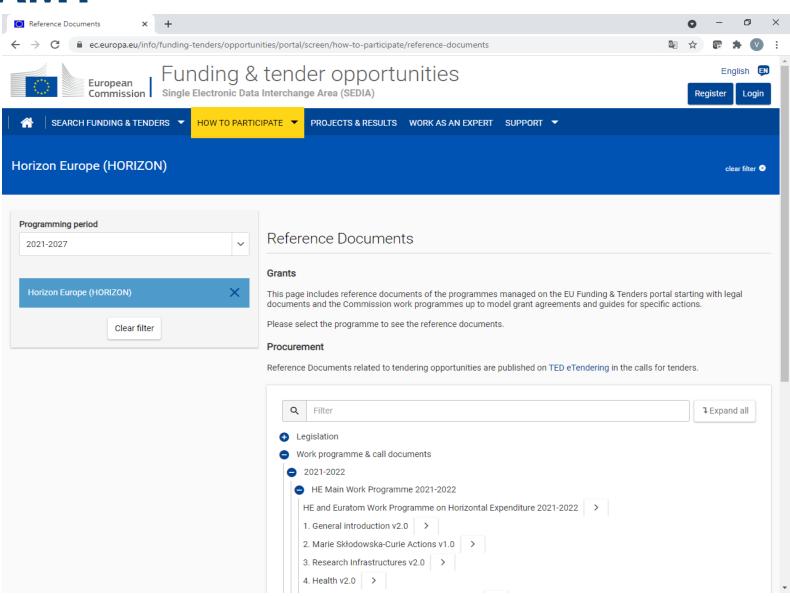
EURATOM

^{*} The European Institute of Innovation & Technology (EIT) is not part of the Specific Programme

PRACOVNÍ PROGRAMY

Funding and Tenders Opportunities
Portal – filter by programme
(Horizon Europe) – How to
participate – Reference documents
– Work programme and call
documents – 2021 – 2022 – HE
main work programme – název
klastru (např. Climate, energy and
mobility je pod číslem 8)

https://ec.europa.eu/info/fundingtenders/opportunities/portal/scree n/programmes/horizon





ČASOVÝ RÁMEC





KONTEXT PROJEKTOVÝCH NÁVRHŮ

- Jde o přímý kontakt s Evropskou komisí a jejími agenturami.
- Není zde zprostředkující role národních grantových agentur nebo státní správy.
- Dvojí možný přístup k projektům:



- Předkládání návrhů může probíhat
 - jednokolově (one stage procedure)
 - dvoukolově (two stage)
- Typy projektů
 - RIA výzkumná a inovační akce; spíše základní výzkum, nižší stupně TRL
 - IA inovační akce; spíše aplikovaný výzkum; vyšší stupně TRL
 - CSA koordinační a podpůrná akce; propojování evropského výzkumu
 - další typy; ceny Prizes



VÝZVA EK POTENCIÁLNÍM HODNOTITELŮM

https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-

2027/experts/call-for-expression-of-interest_en.pdf

- Výzva Evropské komise pro potenciální hodnotitele
- Pro celé období 2021 2027
- Základní informace
- Zhuštěná podoba 10 stran
- Postup pro zájemce o činnost experta





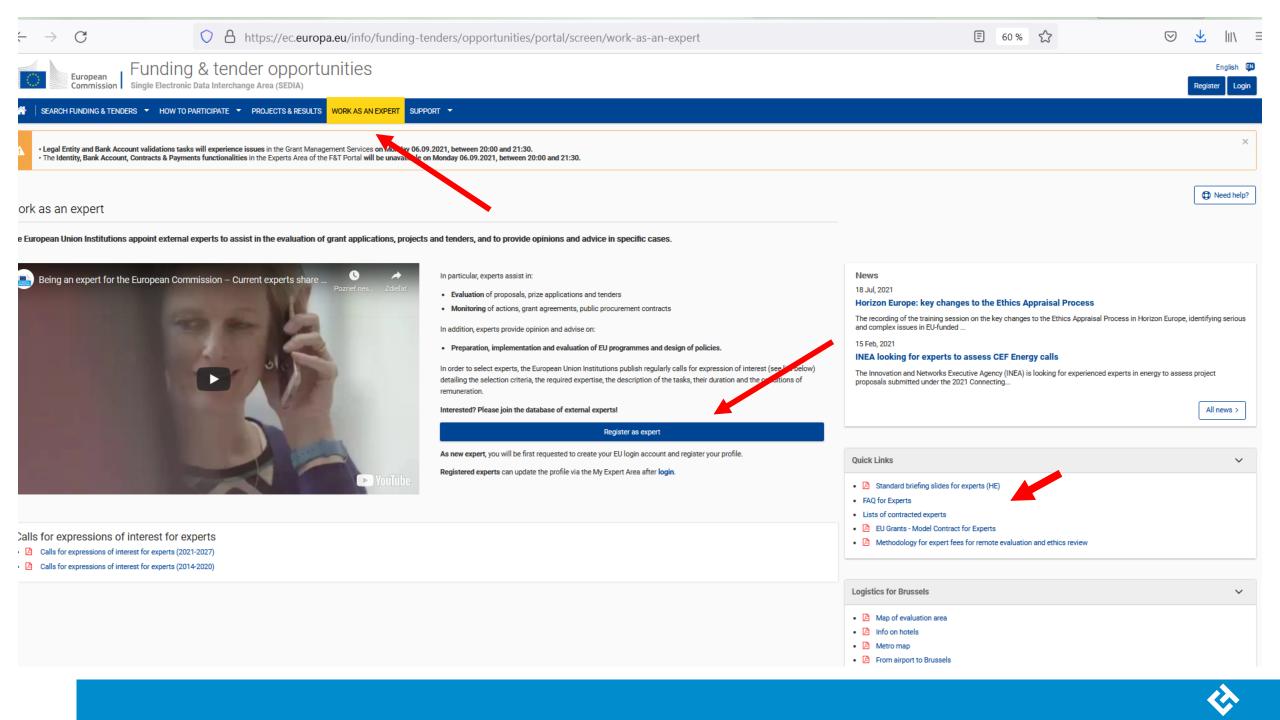


ČAS NA VAŠE DOTAZY

Klidně se ptejte...







EXPERTS REGISTRATION



- Personal details
- Contact details
- •Area of expertise
- Work
- •Education
- Languages
- Profile availability



EXPERTS REGISTRATION

Who can see my profile

This can be modified at anytime

Institutions I agree to work with:

- EU institutions and bodies
- Other EU Institutions (European Parliament, European Council, Court of Justice of the European Union, European Central Bank, European Investment Bank, European Court of Auditors, European Committee of the Regions, European Economic and Social Committee, ...)
- Research funding bodies with a public service mission, based in the EU/EEA countries (other than EU institutions and bodies) or in third countries, associated to Horizon 2020 or to other EU funding programmes, for which the Commission has adopted an adequacy decision
- Research funding bodies with a public service mission, which are based in third countries, associated to Horizon 2020 or to other EU funding programmes, for which the Commission has not adopted an adequacy decision¹
- 1. An 'adequacy decision' recognises that a third country offers data protection standards equivalent to the ones in the EU/EEA countries. In principle, the transfer of personal data to non-adequate third countries is much more risky compared to EU/EEA countries and adequate third countries. Therefore, given the risks, in the absence of an "adequacy decision", your data may be transferred to a third country if you have provided your explicit consent

I agree to work on:

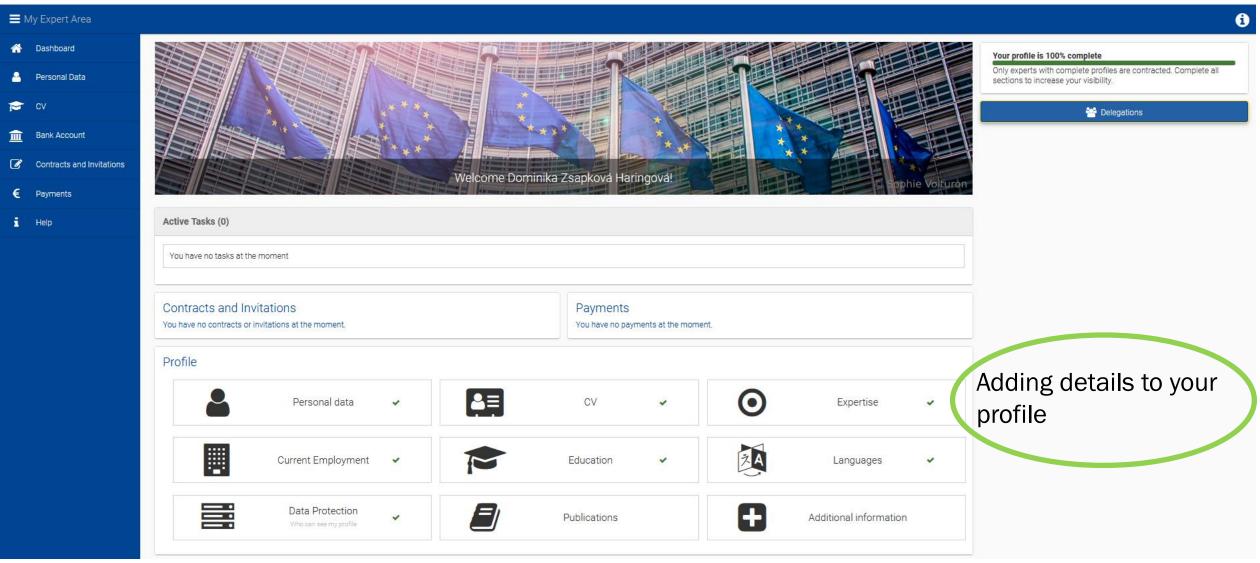
All programmes and sub-programmes of the above selected EU and non-EU institutions.

If you are contacted for tasks that are beyond your competences, you can always decline to work on these tasks with no further consequences.











SELECTION OF EXPERTS

- Skills, experience and knowledge
- Geographical diversity and gender (at least 40% of the under –represented sex)
- Private Public sector balance
- Rotation: max. 200 days paid in 4 consequent years, at least 25% of newcomers for each call

SELECTION OF EXPERTS

- Expertise most important aspect
 - Specialist vs Generalist

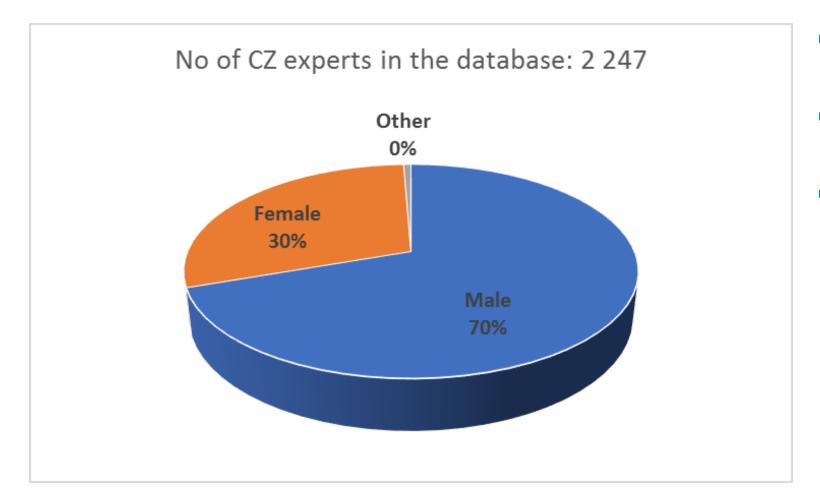


- From 1 (specific top-down calls) to 20+ (bottom-up calls)
- Balance:
 - > Nationality, gender, private public, experienced/new
- Complexity of projects based on the calls
 - Number of pages from 10 to 45 page limit in all calls (General Annexes)





HODNOTITELÉ Z ČR*



- No of experts in database: 240 308
- No of CZ experts in database:2 247 (<1 %)
- No of contracted CZ experts:413

EXPERTI – HLAVNÍ ZÁSADY

- Nezávislost
- Nestrannost
- Objektivnost
- Přesnost
- Konzistence



GOOD EXPERT

- Timely in delivering reports and during consensus meetings
- In case of problem informs ON TIME
- Reliable
- Flexible
- Able and willing to learn and accept our process
- Written and spoken English of good quality
- Able to judge and evaluate the project, not to copy/paste
- If comments are negative WHY?
- If comments are positive WHY?



CONFIDENTIALITY



The evaluator must:

- Not discuss evaluation matters
- Not contact partners
- Not disclose names
- Maintain confidentiality of documents

"We do not look for expert-superman, everybody can learn it…" EC







ČAS NA VAŠE DOTAZY

Klidně se ptejte...



PŘESTÁVKA 10 MINUT



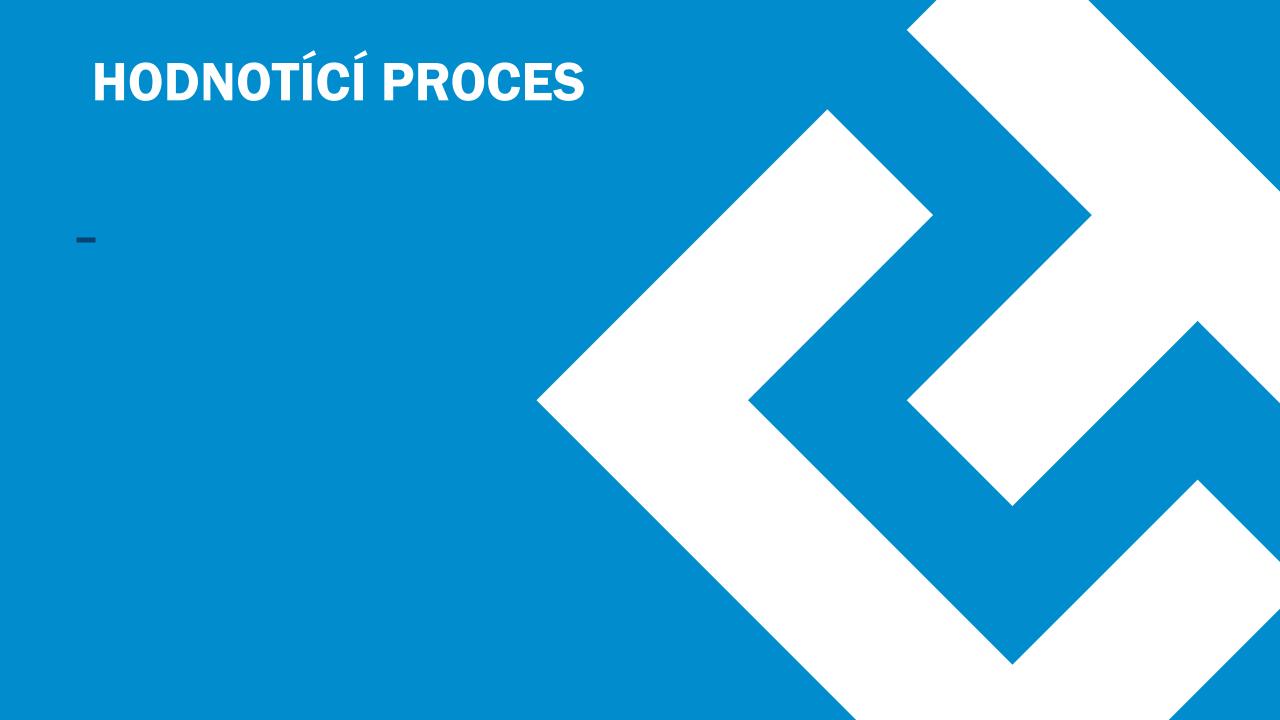




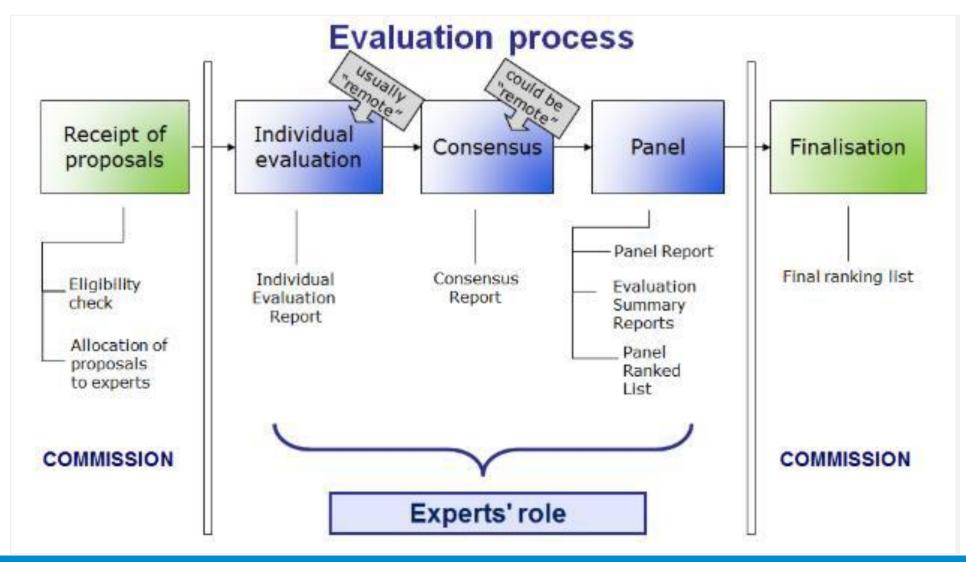
SLIDO ANKETA

"Jaké jsou Vaše největší obavy z hodnocení projektů Horizontu Evropa?"





PROCES HODNOCENÍ





PRŮBĚH HODNOTÍCÍHO PROCESU



INDIVIDUÁLNÍ ČÁST HODNOCENÍ

Výsledkem činnosti experta je Individuální hodnotící zpráva (IER – Individual Evaluation Report).

DOSAŽENÍ SHODY MEZI EXPERTY (CONSENSUS PHASE)

- Projekt hodnotí minimálně tři hodnotitelé.
- Etika se hodnotí samostatně.
- Výsledkem je Zpráva o shodě (CR Consensus report)

REVIZE V PANELU (PANEL REVIEW)

- Schvaluje konečné bodové hodnocení a komentáře pro každý návrh projektu.
- Doporučuje seznam návrhů v pořadí podle priority a prioritu návrhů se stejným konečným bodovým hodnocením -"a single ranking list" (pořadník), kde jsou projekty nad prahovou hodnotou rozděleny:
 - main list navržené k financování,
 - * reserve list,
 - below available budget.



PŘÍKLAD HODNOCENÍ - GENERALISED FEEDBACK

- Veřejně dostupná část hodnocení projektů ve dvoukolových výzvách po prvním kole, dostupné na portále FTOP
- Doporučení pro žadatele, kteří postoupili do druhého kola (po prvním kole nedostávají souhrnnou hodnotící zprávu – ESR)

Příklady:

- ❖ In general, proposals did not sufficiently demonstrate how they will be able to secure the long-term financial commitment to ensure the implementation and monitoring of the proposed solutions.
- In general, the contribution to some of the expected impacts of the topic and the pathways to reach them or quantitative targets were not adequately considered. For example, there was a lack of details on the benefits (e.g. Economic, green jobs, etc.) that can be derived from the implementation of the nature-based solutions.
- ❖The extent to which conventional and newly developed supply chains is covered was not clearly addressed in most of the proposals.



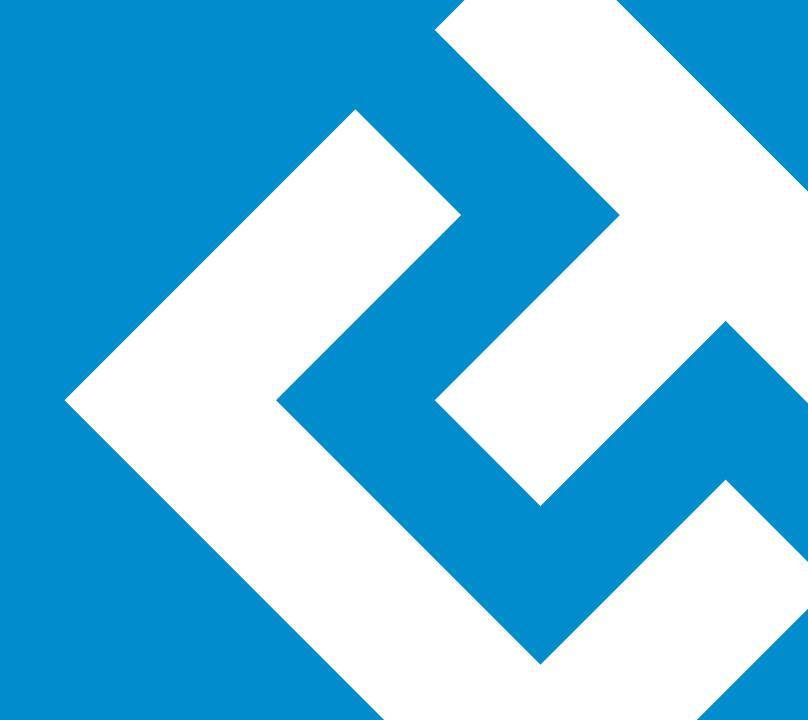


ČAS NA VAŠE DOTAZY

Klidně se ptejte...



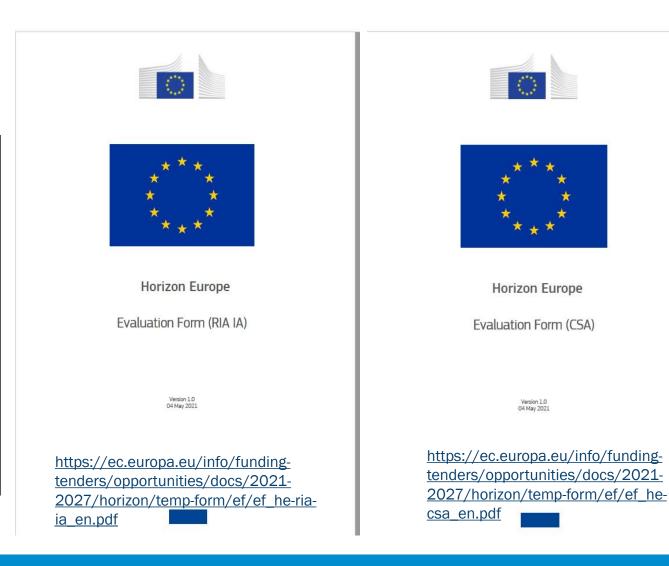
DRAFTING EVALUATION REPORT (ER)



EVALUATION TEMPLATES

- APPLICATION FORMS
- EVALUATION FORMS







DRAFTING EVALUATION REPORT

- Criteria
- Scores
- Threshold
- Comments



EVALUATION CRITERIA, SCORES AND TRESHOLDS

EXCELLENCE

- Clarity and pertinence of the project's objectives and the extent to which the proposed work is ambitious, and goes beyond the state-of-the-art.
- ✓ Soundness of the proposed **methodology**, including the underlying concepts, models, assumptions, interdisciplinary approaches, appropriate consideration of the **gender dimension** in research and innovation content, and the quality of **open science practices** including sharing and management of research outputs and engagement of citizens, civil society and end users where appropriate.

Score 0-5, treshold 3/5.00

IMPACT

- Credibility of the pathways to achieve the expected outcomes and impacts specified in the work programme, and the likely scale and significance of the contributions due to the project.
- ✓ Suitability and quality of the measures to maximize expected outcomes and impacts, as set out in the dissemination and exploitation plan, including communication activities.



QUALITY AND EFFICIENCY OF THE IMPLEMENTATION

- Quality and effectiveness of the work plan, assessment of risks, and appropriateness of the effort assigned to work packages, and the resources overall.
- Capacity and role of each participant, and extent to which the consortium as a whole brings together the necessary expertise.



You provide a score in the range from 0-5 to each criterion based on your comments.

Use steps of 0.5. Maximum score for a proposal is 15.

The default threshold for individual criteria is 3 and the default overall threshold is 10.

SCORE



- O The proposal fails to address the criterion or cannot be assessed due to missing or incomplete information.
- Poor. The criterion is inadequately addressed, or there are serious inherent weaknesses.
- **Fair.** The proposal broadly addresses the criterion, but there are significant weaknesses.
- **Good.** The proposal addresses the criterion well, but a number of shortcomings are present.
- 4 Very Good. The proposal addresses the criterion very well, but a small number of shortcomings are present.
- **Excellent.** The proposal successfully addresses all relevant aspects of the criterion. Any shortcomings are minor.

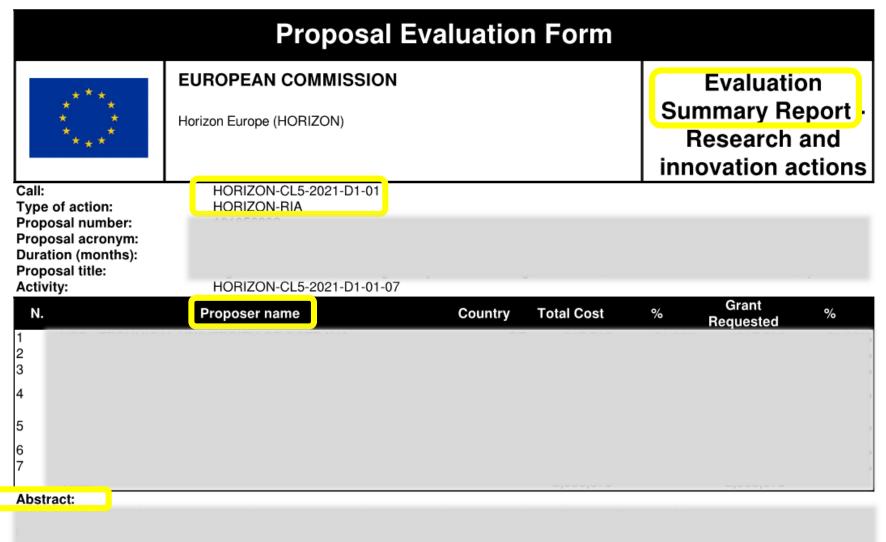


PROPOSAL WEIGHTING

- For the first stage of **a two-stage procedure** you only evaluate the criteria Excellence and Impact. The threshold for both individual criteria is 4.
- Weighting: scores are normally NOT weighted. Weighting is used for some types of actions – and only for the ranking (not to determine if the proposal passed the thresholds).
- Specific calls or topics may have different rules regarding thresholds and weighting.
- For innovation actions (IA), the criterion IMPACT is given a weight of 1.5 to determine the ranking.



HOW DOES EVALUATION REPORT LOOK LIKE (1/4)



Evaluation Summary Report

Evaluation Result

Total score:

(Threshold: 10)

Criterion 1 - Excellence

Score:

Threshold: 3/5.00, Weight: -)

The following aspects will be taken into account, to the extent that the proposed work corresponds to the description in the work programme:

- Clarity and pertinence of the project's objectives, and the extent to which the proposed work is ambitious and goes beyond the state of the art.
- Soundness of the proposed methodology, including the underlying concepts, models, assumptions, inter-disciplinary approaches, appropriate consideration of the gender dimension in research and innovation content, and the quality of open science practices, including sharing and management of research outputs and engagement of citizens, civil society and end users where appropriate.

Criterion 2 - Impact

Score: (Threshold: 3/5.00, Weight: -)

The following aspects will be taken into account, to the extent that the proposed work corresponds to the description in the work programme:

- Credibility of the pathways to achieve the expected outcomes and impacts specified in the work programme, and the likely scale and significance of the contributions from the project.
- Suitability and quality of the measures to maximise expected outcomes and impacts, as set out in the dissemination and exploitation plan, including communication activities.

Criterion 3 - Quality and efficiency of the implementation

Score: (Threshold: 3/5.00, Weight: -)

The following aspects will be taken into account, to the extent that the proposed work corresponds to the description in the work programme:

- Quality and effectiveness of the work plan, assessment of risks, and appropriateness of the effort assigned to work packages, and the resources overall.
- Capacity and role of each participant, and the extent to which the consortium as a whole brings together the necessary expertise.

Scope of the application			
Status:			
Comments (in case the proposal is out of	scope)		
Not provided			
Exceptional funding			
Use of human embryonic stem cells (hESC	C)		
Status:			
	SC is, or is not, in your opinion, necessary to ely, please state if it cannot be assessed whe		
Not provided			
Use of human embryos			
Status:			
If YES, please explain how the human emb	pryos will be used in the project.		
Activities excluded from funding			
Do no significant harm principle		Status: No	
Exclusive focus on civil applications		If YES, please explain.	
		Not provided	
Artificial Intelligence			

Overall comments

COMMENTS IN EVALUATION REPORT

- Specific to the relevant criterion
- Clear and substantial

 Your comments should state facts, not opinions, and be precise and final: ➤ "We think that..." ✓ "This proposal is... "



COMMENTS IN EVALUATION REPORT

- fair reflection of the comments, balancing strengths and weaknesses
- adequate length
- judge the proposal, do not summarise it
- evaluate the quality of the proposal only, do not provide advice on improving





Poor comments merely echo the score

For example,

"The innovative aspects of the research programme are poor".





For example, "This proposal is not innovative in X or Y, and it does not take Z into account".





Poor comments are ambiguous

"The resources for the project are unrealistic"



Good comments are clear

"The resources in WP 4 and 6 are seriously underestimated given the complexity of the activity proposed".





Poor comments are vague, subject to interpretation

"We think the management plan is probably inadequate given the duration of the project and the number of partners".



Good comments are precise and final

"The management plan is inadequate. It does not include clear overall responsibility for the training activities; it lacks a problem-solving mechanism in the event of disputes between partners".





Poor comments are inaccurate and provide an opening for a complaint

"There is no discussion of a dissemination strategy."

"There is only one SME partner in the consortium." When there were actually 2.

"The coordinator is not adequately experienced."



Good comments close the question

"Dissemination activities are listed but the proposal lacks a clear dissemination strategy".

"The consortium lacks sufficient SME participation."

"The coordinator does not demonstrate in the proposal an adequate level of experience in this field."







Poor comments include words like...

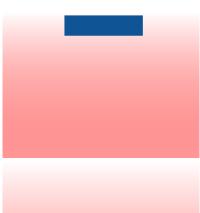
Perhaps
Think
Seems
Assume
Probably

Good comments include words like...

Because
Percent
Specifically
For example



For weaknesses, you might use



Insufficient, very generic, not evident, unfocused, limited, unclear, no significant impact, overestimated ...

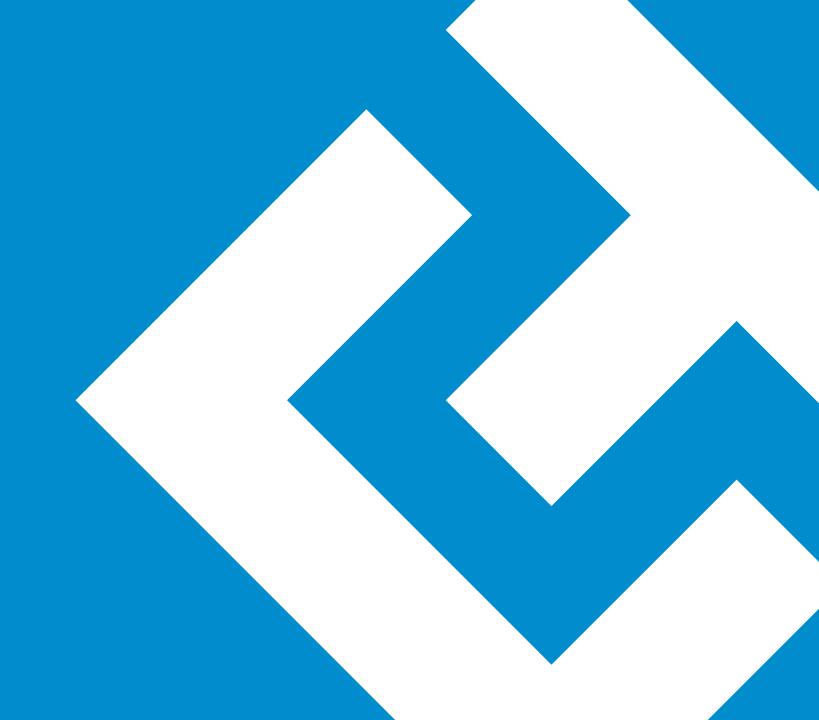


For strengths

Extremely relevant, credible, comprehensive, high quality, highly effective, well-formulated, well balanced, clear advances, ...



PRAVIDLA ODMĚŇOVÁNÍ HODNOTITELŮ



PRAVIDLA ODMĚŇOVÁNÍ HODNOTITELŮ (1)



- Reimbursement rules
- Model Contract for Experts

Součástí je

- Kodex chování (Code of Conduct)
- Referenční termíny (Terms of reference) Annex 2
- ❖Business coaching plan Annex 3 (pro MSP SMEs)





EU Grants

Model Contract for Experts

(EU Experts Contract)

Version 2.0 1 April 2017

Disclaimer

This document is aimed at assisting experts that act as evaluators or monitors in EU funding programmes. It shows the full range of provisions that may be applied to this type of contract, and is provided for information purposes only. The lenally binding contract will be that which is, singed by the parties for party assignment.



PRAVIDLA ODMĚŇOVÁNÍ HODNOTITELŮ (2)



- Metodika pro stanovení sazeb pro experty při hodnocení na dálku:
- https://ec.europa.eu/research/participants/data/ref/h2020/other/experts_manual/methodology-for-expert-fees_en.pdf
- Tabulky činností
- Na jednu jednotku práce připadá 45 €.
- 10 jednotek odpovídá pracovnímu dni, tj. částce 450 €.
- Úkoly a platby pro jednotlivé experty jsou stanoveny předem.



KONFLIKT ZÁJMŮ



- Conflict of interest
 - V případě konfliktu zájmů je hodnotitel z hodnocení vyloučen (Exclusion)
 - Hodnotitel musí zachovat důvěrnost informací (Confidentiality)
- Model Contract for Experts version 2.0, 1 April 2017; ANNEX 1:

Situace automaticky považované za konflikt zájmů:

- Podíl na přípravě projektu
- Monitorování projektu by expertovi přineslo prospěch/nevýhodu
- Úzké rodinné vazby nebo jiné úzké osobní vztahy s osobami (včetně třetích stran) zapojenými do projektu
- Expert je nadřízený, kurátor nebo partner žadatele, nebo je zapojen do vedení žadatele (nebo třetích stran)
- Expert je zaměstnán jednou ze stran zapojených do návrhu projektu (nebo třetími stranami)



INFORMAČNÍ ZDROJE



Oblast pro experty

- Výzva EK pro potenciální hodnotitele k registraci do databáze expertů, <u>link</u>
- Standardní instruktážní prezentace pro hodnotitele, <u>link</u>
- Často kladené otázky hodnotitelů FAQ, <u>link</u>
- Formulář pro evaluaci projektů RIA/IA, <u>link</u>
- Modelová smlouva pro hodnotitele, <u>link</u>
- Video "Being an expert for the EC", <u>link</u>
- Videa a prezentace o hodnocení projektů, síť národních kontaktů "Idealist", <u>link</u>
- Přehled českých hodnotitelů, <u>link</u>

Oblast pro navrhovatele

- Uživatelská příručka pro online předkládání projektových návrhů, <u>link</u>
- Série čtyř videí Evropské komise pro navrhovatele projektů Horizontu Evropa, linky <u>1</u>, <u>2</u>, <u>3</u>, <u>4</u>
- Slovník s terminologií v Horizontu Evropa, <u>link</u>
- Národní portál www.HorizontEvropa.cz





ČAS NA POSLEDNÍ DOTAZY

A NA ZÁVĚREČNOU ANKETU





DĚKUJEME VÁM ZA POZORNOST

Iva Walterová, hodnotitelka, CEO v EPMA, z.s.p.o.

Veronika Korittová, Lenka Švejcarová, Dominika Zsapková Haringová

NCP v TC AV ČR – oddělení NICER

korittova@tc.cz, svejcarova@tc.cz, zsapkova@tc.cz

https://horizontevropa.cz/cs/narodni-kontakty